# Trained Rank Pruning For Efficient Deep Neural Networks

Yuhui Xu<sup>1</sup>, Yuxi Li<sup>1</sup>, Shuai Zhang<sup>2</sup>, Wei Wen<sup>3</sup>, Botao Wang<sup>2</sup>, Wenrui Dai<sup>1</sup>, Yingyong Qi<sup>2</sup>, Yiran Chen<sup>3</sup>, Weiyao Lin<sup>1</sup> and Hongkai Xiong<sup>1</sup>

<sup>1</sup>Shanghai Jiao Tong University <sup>2</sup>Qualcomm AI Research <sup>3</sup>Duke University

### Outline

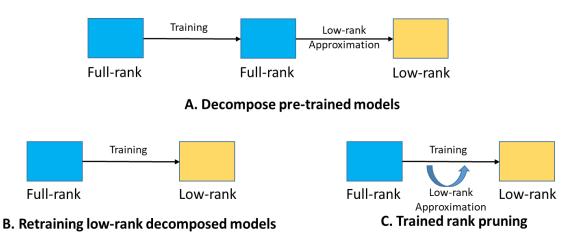
- Low Rank (LR) Models
  - Methods on obtaining LR models
    - Decompose a pre-trained model
    - Retrain a LR decomposed model
  - Challenges on existing methods

#### • Trained Rank Pruning

- Training LR model directly with 2 interleaved steps:
  - Step A: rank conditioning with nuclear norm constraint and sub-gradient
  - Step B: rank pruning with LR decomposition
- Experimental Results

#### LR Models

- Rank pruning with LR decomposition
- Decompose a pre-trained model
  - Small approximation errors can ripple a large prediction loss. Fine-tuning is required to recover some accuracy loss.
- Retrain low-rank decomposed model
  - Hard to select optimal rank for each layer to achieve good balance of model capacity and compression



## Trained Rank Pruning

Our trained rank pruning method has 2 interleaved steps:

(A) Conventional SGD training with nuclear norm regularization and sub-gradient, conditioning the network to be LR compatible

• Nuclear norm constraint

$$min\left\{f(x;w) + \lambda \sum_{l=1}^{L} ||W||_{*}\right\}$$

• Sub-gradient descent[1]

$$g_{sub} = \Delta f + \lambda U_{tru} V_{tru}^T$$

where  $W = U \sum V^T$  is the SVD decomposition and  $U_{tru}$ ,  $V_{tru}$  are truncated U, V with rank(W).

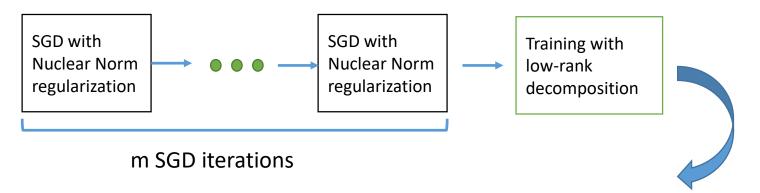
(B) Training with LR decomposition, obtaining the LR network with rank pruning

- -- forward: decompose original filters T into LR filters T\_low;
- -- backward: update decomposed LR filters T\_low with SGD and then substitute original filters.

[1] H. Avron, S. Kale, S. P. Kasiviswanathan, and V. Sindhwani. Efficient and practical stochastic subgradient descent for nuclear norm regularization. In ICML, 2012.

### Trained Rank Pruning

• Step B is inserted into training process after every *m* SGD iterations of step A.



- Capable of generating LR model parameters with diverse optimal ranks.
- Applicable to most existing decompositions, i.e. channel-wise and spatial-wise decompositions.

#### **Experimental Results**

All comparison decomposition and pruning results here are finetuned to improve accuracy, while our methods results are from direct decomposition after training.

- TRP\_spatial: our trained rank pruning method with spatial-wise decomposition;
- **TRP\_channel**: our trained rank pruning method with channel-wise decomposition;
- Nu: nuclear norm regularization in training;
- **Speedup**: the reduction ratio of model FLOPs

Model	Top 1 (%)	Speed up	Method	Top1(%)	Speed up	Method	Top1(%)	Speed up
ResNet-20 (baseline)	91.74	$1.00 \times$	Baseline	69.10	$1.00 \times$	Baseline	75.90	$1.00 \times$
ResNet-20 (TRP_spatial)	90.12	1.97×	TRP_spatial	65.46	1.81×	TRP_spatial + Nu	72.69	2.30×
ResNet-20 (TRP_spatial + Nu)	90.50	<b>2.17</b> ×	TRP_spatial + Nu	65.39	2.23×	TRP_spatial + Nu (diff hyper-param)	74.06	$1.80 \times$
ResNet-20 (Spatial-decomp)	88.13	1.41×	Spatial-decomp	63.1	1.41×	Spatial-decomp	71.80	$1.50 \times$
ResNet-20 (TRP_channel)	90.13	2.66×	TRP_channel	65.51	$2.60 \times$	Filter pruning-ICCV2017	72.04	1.58
ResNet-20 (TRP_channel + Nu)	90.62	<b>2.84</b> ×	TRP_channel + Nu	65.34	<b>3.18</b> ×	Thinet-TPAMI2018	72.03	2.26
ResNet-20 (Channel-decomp)	89.49	1.66×	Channel-decomp	62.80	$2.00 \times$	Table 3: Results of ResNet-50 on ImageNet.		

Table 1: Experiment results on CIFAR-10.

Table 2: Results of ResNet-18 on ImageNet.

On both CIFAR-10 and ImageNet datasets, it shows that our TRP methods can outperform other existing methods both in channel-wise decomposition and spatial-wise decomposition formats. It achieves better balance of accuracy and complexity.